| SEC F | Form 4 |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL             |  |  |  |  |  |  |
|--------------------------|--|--|--|--|--|--|
| OMB Number: 3235-0287    |  |  |  |  |  |  |
| Estimated average burden |  |  |  |  |  |  |
| hours per response: 0.5  |  |  |  |  |  |  |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Brazzell Romulus K |                  |        | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Kala Pharmaceuticals, Inc. [KALA] |                   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director X 10% Owner |            |                       |  |  |
|--|------------------|--------|--|-------------------|--|------------|-----------------------|--|--|
| (Last)   | (First) (Middle) |        | 3. Date of Earliest Transaction (Month/Day/Year)                                     | - x               | Officer (give title below)   |            | Other (specify below) |  |  |
| (Last) (First) (Middle)<br>C/O KALA PHARMACEUTICALS, INC.                  |                  | ( )    | 07/19/2017   |                   | Chief Mee  | ,          |                       |  |  |
| 100 BEAVER S   | TREET, SUI       | ГЕ 201 |  |                   |  |            |                       |  |  |
| (Street)   |                  |        | 4. If Amendment, Date of Original Filed (Month/Day/Year)                             | 6. Indiv<br>Line) | vidual or Joint/Group  | ) Filing ( | Check Applicable      |  |  |
| WALTHAM  | MA               | 02453  |  | X                 | Form filed by One  | e Repor    | ting Person           |  |  |
|  |                  | 02100  |  |                   | Form filed by Mo<br>Person   | e than (   | One Reporting         |  |  |
| (City)   | (State)          | (Zip)  |  |                   |  |            |                       |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | Date |  | Transaction<br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |  |
|---------------------------------|------|--|-----------------------------|---|---|---------------|-------|---|---|---|--|--|
|                                 |      |  | Code                        | v | Amount  | (A) or<br>(D) | Price | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |  |  |

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  |   |                              |   | -  |                                 |  |                    |                   |  |   |  |  |  |
|---|---|--|---|------------------------------|---|--|---------------------------------|--|--------------------|-------------------|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Numl<br>of<br>Derivati<br>Securiti<br>Acquire<br>(A) or<br>Dispose<br>of (D) (I<br>3, 4 and | ive<br>ies<br>ed<br>ed<br>nstr. | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | ate of Securities |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)  | (D)                             | Date<br>Exercisable  | Expiration<br>Date | Title             | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$15  | 07/19/2017                                 |   | A                            |   | 47,142   |                                 | (1)  | 07/18/2027         | Common<br>Stock   | 47,142                                 | \$0   | 47,142   | D  |  |

Explanation of Responses:

1. This option was granted, effective on July 19, 2017 and vests over four years, with 2.0833% of the shares underlying the option vesting on August 31, 2017 and an additional 2.0833% of the shares vesting at the end of each successive one-month period thereafter.

| /s/ Mary Reumuth, Attorney-in- | 07/21/2017 |
|--------------------------------|------------|
| <u>Fact</u>                    | 0//21/2017 |

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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