### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

# FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): January 9, 2023

## Kala Pharmaceuticals, Inc.

(Exact Name of Company as Specified in its Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

001-38150 (Commission File Number) 27-0604595

(IRS Employer Identification No.)

1167 Massachusetts Avenue

Arlington, MA 02476

(Address of Principal Executive Offices) (Zip Code) Company's telephone number, including area code: (781) 996-5252

Not applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

URV Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

 $\Box$ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Securities registered pursuant to Section 12(b) of the Act:

		Name of each exchange on which
Title of each class	Trading symbol(s)	registered
Common Stock, \$0.001 par value per	KALA	The Nasdaq Global Select Market
share		

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

#### Item 8.01. Other Events.

On January 9, 2023, Kala Pharmaceuticals, Inc. (the "Company") received a letter from the Listing Qualifications Department of The Nasdaq Stock Market LLC ("Nasdaq") notifying the Company that its application to transfer the listing of its common stock, par value \$0.001 per share (the "Common Stock"), from The Nasdaq Global Select Market to The Nasdaq Capital Market had been approved. The Nasdaq Capital Market is a continuous trading market that operates in substantially the same manner as The Nasdaq Global Select Market and listed companies must meet certain financial requirements and comply with Nasdaq's corporate governance requirements. The transfer will be effective at the opening of business on January 11, 2023. The Company's Common Stock will continue to trade under the symbol "KALA."

The transfer was approved following Nasdaq's determination that the Company met the applicable requirements for continued listing on The Nasdaq Capital Market, including Listing Rule 5550(b)(1), the minimum stockholders equity requirement for continued listing on The Nasdaq Capital Market. In addition, Nasdaq advised the Company that, upon the transfer of its listing to The Nasdaq Capital Market, the Company will also be in compliance with Nasdaq Rule 5550(a)(5), the market value of publicly held shares requirement for continued listing on The Nasdaq Capital Market.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### KALA PHARMACEUTICALS, INC.

Date: January 10, 2023

By: /s/ Eric L. Trachtenberg

Name: Eric L. Trachtenberg Title: General Counsel, Chief Compliance Officer & Corporate Secretary