# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

## FORM 8-K

## CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 22, 2022

## Kala Pharmaceuticals, Inc.

(Exact Name of Company as Specified in its Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

**001-38150** (Commission File Number) 27-0604595 (IRS Employer Identification No.)

#### 490 Arsenal Way, Suite 120 Watertown, MA 02472

(Address of Principal Executive Offices) (Zip Code)

Company's telephone number, including area code: (781) 996-5252

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading symbol(s)	Name of each exchange on which registered
Common Stock, \$0.001 par value per share	KALA	The Nasdaq Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

#### Emerging growth company ⊠

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\boxtimes$ 

### Item 8.01. Other Events.

On March 22, 2022, Kala Pharmaceuticals, Inc. (the "Company") received a letter (the "Compliance Letter") from the Listing Qualifications Department of the Nasdaq Stock Market ("Nasdaq") notifying the Company that it had regained compliance with the \$1.00 per share minimum bid price requirement for continued inclusion on the Nasdaq Global Select Market pursuant to Nasdaq Listing Rule 5450(a)(1) (the "Bid Price Requirement").

On March 2, 2022, the Company received a deficiency letter from Nasdaq notifying the Company that, for the last 30 consecutive business days, the bid price for the Company's common stock, par value \$0.001 per share (the "Common Stock"), had failed to meet the Bid Price Requirement. In accordance with Nasdaq Listing Rule 5810(c)(3)(A), the Company was provided a period of 180 calendar days, or until August 29, 2022, to regain compliance with the Bid Price Requirement.

The Compliance Letter noted that (1) the Company's Common Stock had a closing bid price of at least \$1.00 per share for a minimum of ten consecutive business days from March 8, 2022 through March 21, 2022, (2) the Company has regained compliance with the Bid Price Requirement and (3) Nasdaq considers the matter closed.

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

KALA PHARMACEUTICALS, INC.

Date: March 22, 2022

By: /s/ Eric L. Trachtenberg Name: Eric L. Trachtenberg Title: General Counsel, Chief Compliance Officer & Corporate Secretary